NOTICE OF FILING AND HEARING

This document was lodged electronically in the FEDERAL COURT OF AUSTRALIA (FCA) on 16/09/2014 1:46:52 PM AEST and has been accepted for filing under the Court's Rules. Filing and hearing details follow and important additional information about these are set out below.

Filing and Hearing Details

| Document Lodged: File Number: | Originating Application Starting a Representative Proceeding under Part IVA Federal Court of Australia Act 1976 - Form 19 - Rule 9.32 NSD937/2014 |
|----------------------------------|---|
| File Title: | Oztech Pty Ltd ACN 005 907 871 v The Public Trustee of Queensland |
| Registry: | NEW SOUTH WALES REGISTRY - FEDERAL COURT OF AUSTRALIA |
| Reason for Listing: | First Directions |
| Time and date for hearing: | 23/10/2014, 9:30 AM |
| Place: | Court Room 21B, Level 17 Law Courts Building Queen's Square, Sydney |



Dated: 16/09/2014 2:23:27 PM AEST

Important Information

As required by the Court's Rules, this Notice has been inserted as the first page of the document which has been accepted for electronic filing. It is now taken to be part of that document for the purposes of the proceeding in the Court and contains important information for all parties to that proceeding. It must be included in the document served on each of those parties.

The Reason for Listing shown above is descriptive and does not limit the issues that might be dealt with, or the orders that might be made, at the hearing.

The date and time of lodgment also shown above are the date and time that the document was received by the Court. Under the Court's Rules the date of filing of the document is the day it was lodged (if that is a business day for the Registry which accepts it and the document was received by 4.30 pm local time at that Registry) or otherwise the next working day for that Registry.

Worrich Sode

Registrar

Form 19 Rule 9.32



Originating application starting a representative proceeding under Part IVA of the Federal Court of Australia Act 1976

No. NSD of 2014

Federal Court of Australia District Registry: New South Wales Division: General

OZTECH PTY. LTD.

ACN 005 907 871

Applicant

THE PUBLIC TRUSTEE OF QUEENSLAND Respondent

To the Respondent

The Applicant applies for the relief set out in this application.

The Court will hear this application, or make orders for the conduct of the proceeding, at the time and place stated below. If you or your lawyer do not attend, then the Court may make orders in your absence.

You must file a notice of address for service (Form 10) in the Registry before attending Court or taking any other steps in the proceeding.

Time and date for hearing:

Place: Federal Court of Australia, Queens Square, Level 17, Law Courts Building, Queens Square, Sydney NSW 2000

The Court ordered that the time for serving this application be abridged to

Date:

Signed by an officer acting with the authority of the District Registrar

Filed on behalf of the
Prepared byApplicantPrepared byAmanda BantonRefAKB.SP.388732Law firmPiper AldermanTel+61 2 9253 9999Fax+61 2 9253 9900Emailabanton@piperalderman.com.auAddress for serviceLevel 23, Governor Macquarie Tower, 1 Farrer Place, Sydney NSW 2000DX 10216 Sydney Stock Exchange

[Form approved 01/08/2011]



On the grounds stated in the Statement of Claim, the Applicant claims:

- 1. Damages at common law.
- 2. Further, or in the alternative, equitable compensation.
- 3. Further, or in the alternative, compensation pursuant to s 283F of the *Corporations Act* 2001 (Cth).
- 4. Further, or in the alternative, compensation pursuant to s 128 of the *Public Trustee Act* 1978 (Qld).
- 5. Such other orders as the Court thinks fit.
- 6. Interest.
- 7. Costs.

Questions Common to Claims of Group Members

The questions of law or fact common to the claims of the Group Members are (using terms as defined in the Statement of Claim filed herein):

- 1. the structure, operation, characteristics and risks associated with each of the Notes;
- 2. whether the Respondent owed each of the Group Members the duties pleaded in paragraphs 36 and 37 of the Statement of Claim;
- 3. whether the Respondent owed each of the Group Members the duty of care pleaded in paragraph 38 of the Statement of Claim;
- 4. whether the Respondent breached the duties pleaded in paragraph 36 and 37 of the Statement of Claim;
- 5. whether the Respondent breached its duty of care pleaded in paragraph 38 of the Statement of Claim; and
- 6. the correct principles for measuring compensable loss and damage for losses suffered as alleged herein.



Representative Action

The Applicant brings this application as a representative party under Part IVA of the Federal Court of Australia Act 1976.

The Group Members to whom these proceedings relate are those persons who:

- 1. held Notes as at 25 February 2008; and
- 2. suffered losses or damage by or resulting from the acts and omissions of the Respondent as pleaded below.

Applicant's address

The Applicant's address for service is:

Piper Alderman Lawyers Level 23, Governor Macquarie Tower 1 Farrer Place Sydney NSW 2000 Email: abanton@piperalderman.com.au

The Applicant's address is:

c/- Piper Alderman Lawyers
Level 23, Governor Macquarie Tower
1 Farrer Place
Sydney NSW 2000
Email: abanton@piperalderman.com.au

Service on the Respondent

It is intended to serve this application on the Respondent.

Date: 16 September 2014

entor

Signed by Amanda Banton Solicitor for the Applicant